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Terry J. Ord and Joan Garcia-Porta

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Research

# Is sociality required for the evolution of communicative complexity? Evidence weighed against alternative hypotheses in diverse taxonomic groups

Terry J. Ord<sup>1,\*</sup> and Joan Garcia-Porta<sup>2</sup>

 ${}^{1}$ Evolution and Ecology Research Centre, and the School of Biological, Earth and Environmental Sciences, The University of New South Wales, Kensington, New South Wales 2052, Australia <sup>2</sup>Institute of Evolutionary Biology (CSIC-UPF), Passeig Marítim de la Barceloneta, 37-49, 08003 Barcelona, Spain

Complex social communication is expected to evolve whenever animals engage in many and varied social interactions; that is, sociality should promote communicative complexity. Yet, informal comparisons among phylogenetically independent taxonomic groups seem to cast doubt on the putative role of social factors in the evolution of complex communication. Here, we provide a formal test of the sociality hypothesis alongside alternative explanations for the evolution of communicative complexity. We compiled data documenting variations in signal complexity among closely related species for several case study groups—ants, frogs, lizards and birds—and used new phylogenetic methods to investigate the factors underlying communication evolution. Social factors were only implicated in the evolution of complex visual signals in lizards. Ecology, and to some degree allometry, were most likely explanations for complexity in the vocal signals of frogs (ecology) and birds (ecology and allometry). There was some evidence for adaptive evolution in the pheromone complexity of ants, although no compelling selection pressure was identified. For most taxa, phylogenetic null models were consistently ranked above adaptive models and, for some taxa, signal complexity seems to have accumulated in species via incremental or random changes over long periods of evolutionary time. Becoming social presumably leads to the origin of social communication in animals, but its subsequent influence on the trajectory of signal evolution has been neither clear-cut nor general among taxonomic groups.

Keywords: animal communication; phylogenetic comparative methods; adaptation; sexual selection; natural selection

## 1. INTRODUCTION

Complex communication is classically linked to the evolution of complex animal societies [\[1\]](#page-15-0): as the number and context of social interactions increase, communication mediating those interactions tends to become increasingly elaborate. Indeed, it is difficult to think of any highly social animal that does not possess a complex system of communication. Humans are an obvious example, as are many other primates and long-lived mammals. Chimpanzees form complex hierarchies and alliances among troop members and rely on an elaborate array of vocal and visual signals to mediate those relationships  $[2-5]$  $[2-5]$  $[2-5]$  $[2-5]$ . Elephants have similarly complex social interactions and use an extensive repertoire of social signals as an apparent consequence  $[6-9]$  $[6-9]$  $[6-9]$  $[6-9]$ . But is it correct to say that sociality is a necessary prerequisite for the evolution of

communicative complexity? Are less social species really predisposed to basic forms of communication more than socially complex species?

These questions lie at the very foundation of how we believe communication evolved in animals. If communicative complexity and sociality are tightly coupled, then both the origin and direction of communication evolution has essentially been a byproduct of factors driving the evolution of sociality more generally. Alternatively, communication might have originated to mediate social interactions among conspecifics, but its ultimate elaboration has been driven by other factors independent of changes in soci-ality. Freeberg et al. [[1](#page-15-0)] have discussed in detail the possible non-social pressures that might produce communicative complexity. These include environmental factors that influence signal fidelity, the need for reliable species recognition and neutral or nonadaptive evolutionary processes. Some of these factors have empirical support (species recognition; [\[10,11](#page-15-0)]), whereas others have very little (neutral processes; reviewed by Freeberg et al. [\[1\]](#page-15-0)). The challenge is to

<sup>\*</sup> Author for correspondence [\(t.ord@unsw.edu.au](mailto:t.ord@unsw.edu.au)).

One contribution of 13 to a Theme Issue 'The social network and communicative complexity in animals'.

test the sociality hypothesis alongside its alternatives and in a way that allows the weight of evidence for each hypothesis to be directly compared.

One can try to make broad taxonomic comparisons to argue that group-living primates, for example, have significantly more elaborate systems of communication relative to claw-waving territorial crabs because primates form complex societies, whereas crabs do not (by comparison). Yet there are many other non-primate groups that do exhibit extraordinary complexity in their communication, and some researchers have even gone as far as to say have levels of signal complexity comparable to primates [[12,13\]](#page-15-0). Consider the exquisite song and courtship dances of many birds [\[14](#page-15-0)], the elaborate headbobbing and colourful dewlap displays of anole lizards [[11,15\]](#page-15-0), the rippling colour and movement displays of cuttlefish [\[16,17](#page-15-0)] or the elaborate foot thumping signals of ornate wolf spiders [\[18](#page-15-0),[19](#page-15-0)]. These are all examples of complex communication—complex in repertoire, the number and type of components making up a signal and, in some cases, the number of sensory modalities used for communication (e.g. signals that are both auditory and visual). Yet, these animals do not exhibit the same level of sociality seen in primate societies.

Such examples seem to weaken the putative link between communicative complexity and sociality. However, broad comparisons among disparate groups like these (primates versus crabs or birds) actually provide little insight into the evolution of animal communication. The vast number of attributes that vary among species at these broad phylogenetic scales make it virtually impossible to determine what factors might or might not account for taxonomic differences in communication. More informative are comparisons among closely related species within broad taxonomic groups. In almost any group that depends on social communication, closely related species differ, to a lesser or greater degree, in their social behaviour and complexity of communication. Furthermore, at this finer phylogenetic scale, the specific selection pressures that direct the evolution of communicative complexity become more apparent (reviewed by Ord [[20](#page-15-0)]). Rather than conducting a literature review of the evidence for and against the sociality hypothesis, we chose to test the hypothesis directly alongside other potential causal factors, using empirical data collected for closely related species.

To this end, we developed six datasets or 'case studies' to represent a variety of taxonomic groups (frogs, birds, lizards and ants) and signal classes (acoustic, visual and chemical). The data for each case study documented variations among closely related species in signal complexity, social behaviour and other factors predicted to influence the evolution of communicative complexity (see  $$1a-d$ ). We then used new phylogenetic comparative approaches and assembled new phylogenies to evaluate alternative evolutionary models of how communicative complexity might have evolved in each case study. The models corresponded to one of the four hypotheses and were not mutually exclusive. We assembled findings across the case studies to determine the generality of each hypothesis in describing the evolution of communicative

complexity over diverse taxa and signal classes. A secondary goal of our study was to provide communication biologists, who might not be familiar with recent advances in phylogenetic techniques, with a heuristic example of how multiple hypotheses can be considered jointly, using methods that also inform on the probable mode of evolution.

## (a) Social drivers of signal complexity

When the frequency or importance of social interactions increases, signals used to mediate those interactions should become more complex for a range of reasons: to convey information more reliably, to manipulate social partners more effectively or to provide relevant social cues in different contexts [\[1\]](#page-15-0). For instance, as sexual selection intensifies, either because mates become choosy in what they find attractive or as competition for mates and territories increases, signal repertoires are expanded or the design of signals are elaborated to help the signaller outcompete courtship and territorial rivals  $[11,21-25]$  $[11,21-25]$  $[11,21-25]$  $[11,21-25]$ . For species living in groups or otherwise interacting with a range of different social partners (e.g. mates, rivals, juveniles, adults, dominates and subordinates), different signals or cues are often required for different contexts, leading to an increase in repertoire size or the complexity of existing signals to convey multiple messages [[26\]](#page-15-0). The sociality hypothesis predicts variation among species in the design or repertoire of signals whenever species differ in the frequency or context of social interactions.

#### (b) Ecological influences on signal complexity

The range of factors that make up the ecology of species is diverse and there are a number of possible ways in which ecology can direct the evolution of communicative complexity. The influence of the environment on the transmission of animal signals is well documented [[27](#page-15-0)–[30\]](#page-16-0). Background acoustic or visual noise masks calls [[31,32\]](#page-16-0) and displays [[33,34\]](#page-16-0) and obstructions in the environment deflect and scatter sound waves [\[28](#page-16-0)] and obscure visual signals [\[35,36](#page-16-0)], as does the reduced visibility imposed by poor light [[37](#page-16-0)–[39\]](#page-16-0). The strategies animals adopt to enhance signal fidelity in noisy, cluttered or dim habitats are varied. Background noise can limit the range of frequencies heard by birds [[40\]](#page-16-0) or the types of movements that can be seen by lizards [\[34](#page-16-0)], and this restricts the range of signal designs that can be readily detected by receivers. Namely, difficult environmental conditions limit signals to those that are simple in design. There are, however, some instances where noisy environments can facilitate signal complexity by promoting the evolution of alert components. These are new components added to signals to attract the attention of receivers, before the more information rich portion of the signal is delivered [[39,41\]](#page-16-0). Here, poor signal environments promote the evolution of more complex signals (i.e. increases in component number through the addition of alerts and other components to enhance signal detection).

Microhabitat or the site within the environment from which animals communicate with one another

can increase or decrease the severity of environmental conditions that affect signal efficiency [\[42](#page-16-0)]. Acoustically communicating species living near streams are susceptible to noise generated by flowing water in what otherwise might be a quiet macrohabitat (e.g. a sheltered temperate forest). In the case of some frogs, species near streams facilitate call detection by producing calls at ultrasonic frequencies above the broadband frequency of running water [\[43](#page-16-0)]. Calls given near the ground are also more susceptible to degradation through muffling and deflection [\[28](#page-16-0)]. Likewise, the visibility of displays is enhanced from perches above undergrowth and other low-lying visual obstructions [[44](#page-16-0)]. On the one hand, the constraints acting on signal efficiency in microhabitats near noise sources or physical obstructions limit the range of signal designs that can be detected by receivers. On the other hand, animals can minimize masking by adding alert or amplifier components to signals and, in the process, increase the complexity of their signals [[39,41\]](#page-16-0). Whether environmental variables constrain or promote signal complexity is unclear (but see [\[35](#page-16-0)]). Yet it is reasonable to expect environmental conditions to play some part in directing the evolution of communicative complexity.

Finally, when animals frequently encounter heterospecific congeners in the environment and are not in direct competition with those congeners for resources (e.g. mates), the need for accurate species recognition becomes important (reviewed by Ord et al. [[45\]](#page-16-0)). The design of social signals often provides the best cues for ascertaining species identity. The number of sympatric species an animal encounters should prompt increases in signal complexity to facilitate recognition [\[10,11](#page-15-0)]. That is, signal elaboration results in a unique, species-typical signal that can be easily distinguished from the signals of sympatric congeners.

#### (c) The allometry of signal complexity

Body size influences numerous features of an animal. Of special relevance to communication is the allometry of physical structures and the physiological mechanisms that govern signal production. For example, the length of the vocal tract is heavily dependent on body size (larger animals have longer vocal tracts [[46\]](#page-16-0)). The vocal tract in turn determines the types of sounds that can be produced by an animal [\[47,48](#page-16-0)]. The allometry of the vocal tract should therefore lead to disparity in vocal signals among species that differ in size. In addition, size-specific metabolic rate seems to explain a large portion of the variance among species in the rate, frequency and duration of acoustic signals in groups as diverse as insects and mammals: large species typically produce low-frequency calls of long duration and at low rates [[49\]](#page-16-0). A similar physiological mechanism has been implicated in the evolution of movement-based displays in lizards [\[50](#page-16-0)]. Larger lizards have higher energetic costs associated with movement compared with smaller lizards, and this has been suggested to constrain the number, duration or type of movements that large lizards can include in displays [[50\]](#page-16-0). However, in the case of static visual signals, possessing a large body might

instead provide more surface area for the expression of big or elaborate ornaments.

Taken together, the allometry of communicative complexity will depend on the modality and type of signal characteristic examined. Larger bodied acoustically communicating species will have vocalizations of longer duration than smaller bodied species [\[49\]](#page-16-0). Whether size-specific metabolic rate, or body size more generally, influences other indices of vocal complexity such as repertoire size or note number is unknown. In visually communicating lizards, size-specific energetic costs should limit the evolution of movement-based display complexity [\[50](#page-16-0)], but larger body sizes should allow the evolution of large or more numerous ornaments because of increased surface area.

## (d) Non-adaptive signal complexity

Divergence in song complexity can occur 'passively' among populations in wide-ranging species through cultural or genetic drift (e.g. birds: [\[51,52](#page-16-0)]; mammals: [[53\]](#page-16-0)). This leads to the unusual hypothesis that stochastic processes can generate signal complexity in the absence of selection (see also [\[54](#page-16-0)]). Genetic drift and neutral mutation (mutations that are neither deleterious nor beneficial) may incidentally increase signal complexity over evolutionary time or following bouts of rapid evolution (e.g. during speciation). If true, variations in communicative complexity will tend to track phylogeny (closely related species will tend to share similar levels of signal complexity, whereas distantly related species will not) and, in particular, match a pattern of stochastic evolution. Recent developments in phylogenetic comparative analyses allow the joint estimation of both phylogenetic inertia and stochasticity in evolutionary diversification [[55\]](#page-16-0). This neutrality hypothesis will be novel to most communication biologists, but it is an important null model missing from most studies of signal adaptation.

## 2. MATERIAL AND METHODS

We identified recent studies in which the design or repertoire of communication had been surveyed for a large number of closely related species. Our criterion for selecting case studies was dependent on several factors. First, we needed to be fairly certain that we could obtain adequate social, ecological or morphological data for the species in question. Some of this information was reported in the original sources, or the authors of those sources were willing to share unpublished data with us when contacted directly (see Acknowledgements). In other cases, we used electronic databases and other published sources to supplement datasets. Second, to construct phylogenies for each case study, there had to be adequate and comprehensive genetic markers for species in GenBank. Third, we wished to cover several diverse taxonomic groups and obtain representatives of both the most commonly studied and least-studied forms of communication (in decreasing order of research attention: acoustic signals, static visual ornaments, movement-based displays and chemical signals). We excluded primates and other mammals because these systems were either well represented in earlier tests of the sociality hypothesis (e.g. [\[56](#page-16-0)–[58](#page-16-0)]; reviewed in Freeberg *et al.* [[1](#page-15-0)]) or were the focus of other studies included in this theme issue [[59](#page-16-0)–[61\]](#page-16-0). Finally, in some cases, we selected two case studies for the same taxonomic group (specifically birds and lizards), one that represented phylogenetically diverse species from multiple genera and families, while the second consisted of closely related species from the same genus or phylogenetically adjacent genera. We refer to these case studies as 'distantly related' and 'closely related' examples, respectively. Our motivation here was to assess the sensitivity of our estimates of phylogenetic inertia and stochasticity to taxon sampling.

Details on the data and analyses used are described in the following sections. All data and GenBank accession numbers for DNA sequences used to assemble phylogenies have been deposited in files assigned to this article in the public electronic database Dryad Digital Repository (see Acknowledgements).

## (a) Communication data and social indicators

We compiled data on signal and social characteristics that we believed were consistent with current definitions of complexity [\[1\]](#page-15-0). Indices of signal complexity were: call amplitude modulation (frogs); call, song or display duration (frogs, birds and lizards); song or syllable repertoire size (birds); number of ornaments (lizards); number of separate components making up signals (lizards and ants; this includes colour dichromatism in lizards, measured as the number of colourful body patches exhibited by males but not seen in females [\[62\]](#page-16-0)). Indices of sociality were: sexual size dimorphism (frogs and lizards; in these taxa, size dimorphism is believed to reflect the intensity of competition among males for mates and territories [[24\]](#page-15-0)); levels of extrapair paternity (birds); mating system (in birds this was coded as 'monogamy', 'irregular polygyny' and 'regular polygyny'; in ants, 'no polygyny or polyandry' versus 'polygyny or polyandry present'); and colony size (ants). All data on signal complexity were compiled directly from published studies [[25,](#page-15-0)[62](#page-16-0)–[67](#page-17-0)] except for frogs (see below). Indices of sociality were obtained from the same sources used for communication data (frogs [[68\]](#page-17-0); lizards, distantly related [[25\]](#page-15-0); birds [\[66\]](#page-17-0)), unpublished data from the authors of these studies (ants—E. van Wilgenburg, M. R. E. Symonds & M. A. Elgar 2011, unpublished data) or compiled separately from other literature (lizards, closely related [[69](#page-17-0)]).

To obtain data on the signals of frogs, we used oscillograms of species-typical frog calls to estimate call duration and amplitude modulation from a comprehensive field guide on the anuran fauna of the Kaiteur National Park in Guyana [[68\]](#page-17-0). Oscillograms were digitally scanned and IMAGEJ v. 1.42q (W. Rasband 1997–2009, NIH) used to measure the duration of calls in seconds from the start of the first note to the end of the last note. Call amplitude modulation was estimated as the coefficient of variation (CV) of the peak sound pressure computed across pulses making up a call. That is, a call with many pulses varying in peak sound pressure had a higher estimated CV than a call with pulses that peaked at consistent sound pressure levels.

## (b) Ecological and morphological data

Most ecology data were obtained from sources reporting communication data and included: macrohabitat for the 'distantly related' lizards [[25\]](#page-15-0); microhabitat for both lizard case studies [[63,64\]](#page-17-0) as well as the frogs [[68\]](#page-17-0); environmental noise for the frogs [[68\]](#page-17-0); whether species were migratory for the 'closely related' bird case study [[65\]](#page-17-0); species geographical range for the 'distantly related' lizard case study [[62\]](#page-16-0); the number of sympatric species for frogs [\[68](#page-17-0)], and two climatic variables for ants [[67\]](#page-17-0). Macrohabitat was generally coded as 'open' (e.g. grasslands, deserts and plains) or 'closed' habitats (e.g. forests and woodlands). This is a biologically relevant categorization of habitat for communication because closed environments generally reduce the range of detection for acoustic and visual signals compared with open environments (see §1). Microhabitat in frogs was the average height above ground of call sites for each species, while in lizards it was whether species were arboreal or terrestrial. In frogs, species that were reported preferring environments near streams were assumed to experience environmental noise from running water and categorized as occupying 'high noise' environments, whereas all other species were categorized as occupying 'low noise' environments. Whether species were migratory or occupying large geographic ranges was used as an index of the likely habitat heterogeneity experienced by species, as well as the likelihood of interacting with heterospecifics. Habitat heterogeneity was expected to influence the evolution of communicative complexity because heterogeneity will either truncate the set of signals that are detectable across different habitats or because heterogeneity facilitates the evolution of new signal components or larger repertoire sizes (see §1). Widely distributed species can also be expected to interact with more sympatric species compared to localized species, and sympatry is in turn predicted to promote the evolution of signal complexity (see §1). In frogs, the number of sympatric species was estimated as the number of co-occurring frog species reported at sites where a species was stated to occur. Climatic variables expected to influence the composition of cuticular hydrocarbons in ants are rainfall and temperature [\[67](#page-17-0)], which may limit the range of chemical components included in an olfactory signal.

We used a GIS approach to obtain information on the ecology of species for two case studies for which data were not reported in original sources. For one lizard case study (communication data from Martins [\[63](#page-17-0)]), we used GIS distribution data to compute the geographic range of species, the percentage range overlap with congeneric heterospecifics (species of the same genus; this index is hereafter referred to as 'sympatry: overlap'), the number of sympatric species (hereafter 'sympatry: number') and the level of habitat heterogeneity likely experienced by species. Specifically, geographical distribution data for 79 species of Sceloporus (88% of described species within the genus) were obtained from the IUCN red list database [\(http://www.iucnredlist.org/technical-documents/](http://www.iucnredlist.org/technical-documents/spatial-data) [spatial-data](http://www.iucnredlist.org/technical-documents/spatial-data)) and mapped using a cylindrical equal area projection. The range for the species of interest

was measured in square kilometres and estimated as the area enclosed by the polygon corresponding to each species distribution. We then calculated the percentage area of a species range that was overlapped by at least one Sceloporus congener. We also estimated the number of sympatric species by tallying the number of overlapping species. Habitat heterogeneity was computed by intersecting the ecoregion GIS layer described in Olson et al. ([\[70\]](#page-17-0); available electronically at [http://www.worldwildlife.org/science/](http://www.worldwildlife.org/science/data/item1875.html) [data/item1875.html](http://www.worldwildlife.org/science/data/item1875.html)) with the projected distribution of species. Initially, the percentage overlap of ecoregions for a given species was grouped into four broad categories—forest, shrubland, grassland and desert but these were later merged into 'forest' and 'nonforest' environments (forest versus all others) because this dichotomous categorization was more biologically appropriate for testing our hypotheses (see opening paragraph in this section).

The same methods were used to obtain ecological data for one bird case study (communication data from Soma & Garamszegi [\[66](#page-17-0)]). In this case, species distributions were obtained from the BirdLife International and NatureServe database ([\[71\]](#page-17-0); [http://www.](http://www.birdlife.org/datazone/info/spcdownload3) [birdlife.org/datazone/info/spcdownload3,](http://www.birdlife.org/datazone/info/spcdownload3) accessed on August 19, 2011). Our projected distributions were based on the known 'breeding range' if species were migratory (given that song charactersitics were used in mate choice and territory defence [[66\]](#page-17-0), activities specific to the breeding season) or the 'resident range' if species were non-migratory. Ecoregion data and analyses were the same as those of the lizard example described earlier. However, we were unable to obtain data on sympatric species for this bird case study, so sympatry was not evaluated. All GIS analyses were performed using ARCGIS v. 9.3 (ESRI, Redlands CA).

Data on body size were largely obtained from studies reporting communication data (the only exception being the Soma & Garamszegi [\[66](#page-17-0)] case study; see above). Measures of size included snout-to-vent length for frogs and lizards, and tarsus length in birds (tarsus length is a common metric of size in birds—e.g. [[72,73\]](#page-17-0)—and is a useful measure because it reflects both the overall size and mass of the bird).

#### (c) Phylogenies

We developed phylogenies for each case study using the two most comprehensive mitochondrial DNA markers deposited in GenBank [\[74](#page-17-0)]. The longest sequences available for a given species were selected and aligned using the program MAFFT v. 6 ([\[75](#page-17-0)]; [http://www.ebi.ac.uk/Tools/msa/mafft/\)](http://www.ebi.ac.uk/Tools/msa/mafft/) with the following parameter settings: gap penalty =  $1.53$ ; gap  $extension = 0.123$ ; tree rebuilding number = 100; maximum number of iterations  $= 100$ ; and fast Fourier transforms  $(FFTS) = local pair$ . When sequence data for a given species were not available in GenBank, we used surrogate sequences from two species of the same genus (when possible; in rare instances only one species of the same genus was represented in GenBank). Surrogate sequences were required only for five of the 199 species included in our study.

For every case study, we generated two ultrametric phylogenies using BEAST v. 1.6.1 [[76\]](#page-17-0). We used two trees rather than a single phylogeny for each case study to incorporate alternative phylogenetic hypotheses into our analyses (results from comparative analyses are partly dependent on the phylogeny used). The first tree was based on species relationships informed exclusively by the two mitochondrial DNA genes downloaded from GenBank. The second tree used additional information from the most recently published phylogenies for a given group to 'constrain' the topology of the tree such that only branch lengths were estimated in our phylogeny using the mitochondrial DNA genes from GenBank. This lead to an overall topology in the second tree that was congruent with existing phylogenies (frogs: [[77\]](#page-17-0); birds: [\[78](#page-17-0)–[83](#page-17-0)]; lizards: [\[84](#page-17-0)–[88](#page-17-0)]; ants: [[67\]](#page-17-0)). We refer to these trees as 'unconstrained' and 'constrained' phylogenies, respectively.

Topology, node supports (in the unconstrained trees) and branch lengths (in both the unconstrained and constrained trees) were inferred using the Bayesian algorithms implemented in BEAST. A Yule branching process with a uniform prior was used and an uncorrelated branch rate variation was modelled using a lognormal distribution. For both genes, the model of evolution was set to GTRGAMMAI. The mean global substitution rate was set to unity and produced ultrametric trees with branch lengths expressed in units of substitutions per site. The analysis consisted of two to four independent Markov Chain Monte Carlo (MCMC) chains that ran for 20 000 000 generations with parameters and trees sampled every 3000 generations. Independent runs in all cases converged on very similar posterior estimates and were combined using LOGCOMBINER v. 1.5.4 (included in the package BEAST). In all runs, the first 10 per cent of generations were considered to belong to the burn-in phase of the analysis and were excluded. The program TRACER v. 1.2 [\[89](#page-17-0)] was then used to confirm convergence and good mixing of the combined MCMC chains. Finally, summary trees were obtained with mean node heights computed using TREEANNOTATOR v. 1.5.4 (in the package BEAST), with a posterior probability limit set to 0.5.

## (d) Analysis

We used model fitting within a phylogenetic framework to assess the extent to which different predictor variables explained variation among species in communicative complexity. We used the second-order Akaike's Information Criterion  $(AIC<sub>c</sub>)$  to determine the level of support for each model.  $AIC_c$  is a modification of AIC that corrects for sample size; as sample size increases,  $AIC<sub>c</sub>$  values converge on those of  $AIC$ [[90\]](#page-17-0). As applied here, an AICc value reflects the likelihood that a given model fits the observed variation in signal complexity among species, given the phylogenetic relationships among those species. The model with the lowest  $AIC_c$  value is the model that best fits the data, although any model within two  $AIC_c$  units of this lowest value is by convention considered to fit the data just as well [\[90](#page-17-0)]. We also computed model weights,  $AIC_{w2}$  to provide a more intuitive indication of the support of a given model relative to all models considered. See Burnham & Anderson [\[90](#page-17-0)] for details on the calculation of  $AIC_c$  and  $AIC_w$ .

Model fitting and subsequent selection using information and likelihood theory is becoming increasingly common in evolutionary ecology studies (see [[91\]](#page-17-0) for review). This is because it offers an enticing alternative approach to traditional  $p$ -value-driven statistical analyses, with several statistical advantages that are particularly amenable for biological study. First, there is often a range of biologically plausible variables that might account for the observed patterns we see in the natural world. These variables might be alternative indices associated with a specific hypothesis or correspond to different hypotheses in their own right. Model fitting incorporates this notion of multiple potential causal factors as an explicit part of its analytic philosophy by allowing simultaneous evaluation of a number of alternative models. Second, such multiple comparisons are a menace for the interpretation of  $p$ -values because of the increased chance of falsely concluding a 'significant' relationship when many statistical comparisons are performed (i.e. type II error rates increase with the number of statistical analyses performed on a dataset). While there are various corrections that can be applied to  $p$ -value thresholds for judging significance (Bonferroni, false discovery rate), the problem is circumvented entirely using model fitting methods such as AIC.

This ability to consider a range of different models of how evolution might have occurred in a group is especially useful for phylogenetic comparative analyses in which there are typically several potential causal factors. In our study, we had a number of predictor variables associated with several hypotheses. These hypotheses were not mutually exclusive. While the model fitting framework allows all possible multivariate combinations of variables to be considered, we choose to focus on a relatively simple set of models to facilitate interpretation and avoid generating large unwieldy  $AIC_c$  tables. We therefore relied on model weights to identify which hypothesis, or combination of hypotheses, most likely accounted for the evolution of signal complexity in a given case study.

Models were fitted individually to the communication data using the phylogenetic comparative software SLOUCH v. 1.1 [[55\]](#page-16-0) run in R v. 2.8.1 (R Development Core Team). Thomas Hansen provides an introduction to his program and its analyses in accessible non-technical language in the accompanying user manual. A more detailed description of his approach is given in Hansen et al. [\[55](#page-16-0)] (see also [\[92](#page-17-0)]). We elaborate here on some of the key aspects of the program that are relevant for the interpretation our results.

SLOUCH has several features that make it especially attractive for comparative study among the daunting array of methods currently available. In particular, rather than assume a particular mode of evolution at the outset (a critical flaw of the popular independent contrasts method ([\[93](#page-17-0)]; see discussion in Ord & Martins [[94\]](#page-17-0)), SLOUCH uses likelihood to estimate the level of phylogenetic inertia and

stochasticity in the evolution process based on the distribution of species data across the tips of the phylogeny and the nature of the phylogeny itself (e.g. its topology, length of branches). In the case of phylogenetic inertia, SLOUCH computes the phylogenetic half-life,  $t_{1/2}$ , of the phenotype (here signal complexity), which is the time the phenotype would likely take to evolve halfway towards an adaptive optimum. The value of  $t_{1/2}$  is a direct function of phylogenetic inertia: strong phylogenetic inertia is reflected in large values of  $t_{1/2}$  and is consistent with incremental phenotypic change over long periods of evolutionary time, whereas weak phylogenetic inertia is reflected in small values of  $t_{1/2}$  and bursts of phenotypic change over short periods of evolutionary time. Physiological or morphological constraints, genetic correlations or low mutation rate are some of the factors that might contribute to phylogenetic inertia and large values of  $t_{1/2}$ .

SLOUCH parametrizes  $t_{1/2}$  from zero to infinity, a range that includes a rapid and directed phenotypic change in response to selection, to gradual phenotypic change occurring via a process of Brownian motion (which may or may not be directed by selection). In the instance of adaptive evolution, the phenotype might track a continuously shifting selection regime or be maintained at an optimum phenotype through stabilizing selection. This provides considerable versatility because it allows for the possibility of non-adaptive evolutionary change, adaptive evolutionary change through directional selection and adaptive evolutionary change through stabilizing selection.

In the context of signal evolution, values of  $t_{1/2}$ approaching infinity imply that signals have evolved via an incremental change culminated over long periods of evolutionary time. In this scenario, species tend to share similar levels of signal complexity as a function of phylogenetic relatedness. Adaptive evolution may have occurred, but the process has been slowed by strong phylogenetic inertia. Intermediate values of  $t_{1/2}$  imply that signal evolution has tended to proceed towards an optimal phenotype via Brownian motion and, if reached, stabilizing selection has kept signal designs at or near this optimum. Values of  $t_{1/2}$ approaching zero suggest that signal designs among species are effectively independent and have little relationship to phylogeny. In this situation, animal signals have been free to vary adaptively and retain no signature of evolutionary history.

There are other phylogenetic comparative methods that use likelihood to estimate parameters reflecting the extent phenotypic evolution has been influenced by factors associated with phylogeny. For example, Martin & Hansen's [[95\]](#page-17-0) phylogenetic generalized least squares model (PGLS) estimates  $\alpha$ , or the rate of adaptation, which can be used to compute  $t_{1/2}$ [\[55](#page-16-0)]. Other methods compute parameters such as  $K$ [\[96](#page-18-0)] or  $\lambda$  [\[97](#page-18-0)] that estimate the extent phenotypic evolution has followed a Brownian motion process, commonly interpreted as the level of phylogenetic signal in phenotypic traits. The utility of SLOUCH lies in its foundation on the Ornstein–Uhlenbeck process, which includes both Brownian motion and the possibility of adaptive evolution towards an optimum. Furthermore, unlike other methods, SLOUCH

computes a separate estimate of stochasticity. Stochasticity can generate non-adaptive phenotypic variations among living species despite the presence of strong directional or stabilizing selection and contributes to a random phenotypic change under Brownian motion.

SLOUCH estimates stochasticity,  $v_y$ , over a range from zero to infinity. Values approaching infinity imply that stochastic processes have been highly influential in the course of phenotypic evolution. Stochasticity can be generated by factors such as genetic drift or secondary selection pressures acting on other traits that are genetically correlated or during the process of evolutionary change more generally. Values approaching zero imply that there have been few perturbations from an adaptive optimum or during the process of evolutionary change generally.

There is always the danger of over interpreting  $t_{1/2}$ and  $v<sub>v</sub>$  parameters and others like them in phylogenetic comparative methods  $(K \text{ or } \lambda)$ . It must be kept in mind that these parameters are not direct measures of the evolutionary process. Rather they are statistical parameters measuring patterns in the data that are consistent with phylogenetic inertia and stochasticity in phenotypic evolution. But these parameter values can reflect other non-biological factors, such as the level of measurement error in data or patchiness in taxon sampling. Practitioners of phylogenetic comparative methods should consider carefully the warnings of Revell et al. [\[98](#page-18-0)] and Freckleton [\[99](#page-18-0)]. With a reasonable degree of caution, parameters potentially reflecting phylogenetic inertia and stochasticity can still provide some insight into the evolutionary processes that might have lead to species variation in signal complexity. To facilitate interpretation, we provide a measure of confidence in  $t_{1/2}$  and  $v<sub>v</sub>$  estimates by reporting the range of values within two support units of the best estimate [[55\]](#page-16-0). As with  $AIC<sub>c</sub>$ , values within this two-unit range are those that are essentially equally well supported.

Finally, SLOUCH offers two methods for examining phenotypic evolution. The first assumes that the phenotype has evolved towards discrete stationary optima (either a common optimum or several alternative optima; e.g. a specific phenotype selected for and maintained in a given environment via stabilizing selection). The method relies on ancestor state reconstructions of a categorical predictor variable onto the phylogeny (e.g. habitat type), which is then used to assess whether different phenotypes have been favoured in different regions of the phylogeny specified by that predictor variable. We used this approach to fit models with the following variables: open versus closed macrohabitats, arboreal versus terrestrial microhabitats, high-noise versus low-noise environments, migration and mating system. These categories were reconstructed onto the phylogeny using parsimony in the program MESQUITE v. 2.74 [[100](#page-18-0)]. Ideally, likelihood reconstructions should be used here, but likelihood assigns a probability that a categorical variable is present in a given ancestor. To implement the optimality analysis in SLOUCH, these probabilities would have to be manually assigned using some arbitrary cut-off (e.g. an ancestor with a probability greater than 50 per cent coded as having lived in an

open rather than closed habitat). To avoid this, we followed current convention and assigned ancestor states as present or absent using parsimony [[101,102\]](#page-18-0). The method of reconstruction does influence ancestor assignments. However, our preliminary analyses showed that changes to the phylogeny had a greater effect on ancestor reconstructions than the method of assignment (parsimony versus likelihood). All of our analyses were repeated on alternative phylogenies for each case study.

Reconstructions were imported into SLOUCH and used to fit models that assumed that reconstructed variables (e.g. open versus closed habitats) have selected for different adaptive optima in signal complexity (e.g. complex signals in open habitats, simple signals in closed habitats).

The second method in SLOUCH is similar in the respect that it also assumes that the phenotype has evolved towards an adaptive optimum, but differs in the sense that this optimum is not stationary and varies as a function of fluctuations in a continuous predictor variable. The model fitted is essentially a regression of signal complexity on the predictor variable and was used for all continuous predictors. SLOUCH also provides output for an optimal and evolutionary regression. The optimal regression depicts the relationship between the predictor variable and signal complexity assuming phylogenetic inertia was absent, whereas the evolutionary regression provides the 'observed' relationship between the predictor and signal complexity as a function of both adaptation and the constraining force of phylogenetic inertia. We report the results from evolutionary regressions only.

For all best supported models, we report phylogenetic effect sizes (r-values) to provide an indication of the direction and magnitude of relationships in the data.

## 3. RESULTS

Support for models applied to each case study are reported in tables  $1-3$  $1-3$  $1-3$ . We provide a brief summary of key findings below and in figures [1](#page-11-0) and [2,](#page-12-0) and elaborate on the combined findings of the analyses in the discussion (§4).

#### (a) Complexity in vocal communication

There was little support for the role of sociality in the evolution of complexity in vocal signals (mean  $+$ s.e.  $AIC_w = 0.07 \pm 0.01$ . Instead, phylogenetic null models and a range of different ecological models the probability of encountering heterospecifics, migration/geographic range and habitat preference were among the best-supported models [\(table 1](#page-8-0)). For example, the phylogeny of frogs, their probable encounter rate with heterospecifics and call site position above ground fit variations in call complexity well. Plots of sympatry and call modulation revealed several prominent outliers (figure  $1a$ ), but the overall trend was consistent with the species recognition hypothesis. Call site was positively correlated to call duration and, to some extent, levels of call modulation, implying that calling high above the ground has

<span id="page-8-0"></span>

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Phil. Trans. R. Soc. B (2012)



dThe standard errors of parameter values for migration were very large making biological interpretation difficult.

standard errors of parameter

facilitated the evolution of more complex calls. There was some support for the allometry hypothesis in birds (e.g. body size correlated positively with syllable repertoire size; [figure 1](#page-11-0)b).

There were high levels of possible phylogenetic inertia in most vocal signals. It was particularly striking that the estimates of phylogenetic inertia (implying evolution via Brownian motion) were consistent across measures of signal complexity and for datasets in which species were sampled quite differently (distantly related versus closely related). Figure  $2a,b$ illustrates repertoire size in birds as it relates to phylogeny. Both examples recorded high phylogenetic inertia, but differed in estimates of stochasticity: stochasticity in repertoire evolution was low for the closely related species ([figure 2](#page-12-0)a), but high among distantly related species [\(figure 2](#page-12-0)b). This difference in stochasticity probably reflects differences in taxon sampling between the two case studies.

## (b) Complexity in visual communication

Visual communication in lizards provided the only compelling support for models of sociality (mean  $+$ s.e.  $AIC_w = 0.44 \pm 0.13$ . Effect sizes showed that sexual size dimorphism, a proxy for the intensity of male–male competition within species, was positively correlated with the number of ornaments (e.g. horns, spines, tail crests; [figure 1](#page-11-0)c), colour dichromatism and headbob display duration [\(table 2](#page-10-0)). In the case of colour dichromatism, our analyses were focused on colour signals occurring on two separate body regions because previous studies have shown that the selection pressures acting on dorsal coloration ('exposed'; these signals are visible to aerial predators) have been different from those acting on ventral coloration ('concealed'; these signals are only exposed during headbob displays to territorial rivals [\[25](#page-15-0)]). Phylogeny and whether species were arboreal or terrestrial were other models that received good support. On the latter, consistent with call evolution in frogs, headbob display evolution in lizards seems to have been facilitated by an arboreal lifestyle (figure  $1d$ ).

Visual signal evolution in lizards was associated with estimates of phylogenetic inertia close to zero. Our analyses also suggested very little stochasticity in evolutionary diversification. Taken together, visual signals have potentially been free to respond quite rapidly to selection.

## (c) Complexity in chemical communication

We found little support for the role of sociality in the evolution of signal complexity in ants (mean  $+$  s.e.  $AIC_w = 0.14 \pm 0.003$ . The ecological models faired no better [\(table 3\)](#page-11-0). Phylogeny appeared to be the only model that fit the data at all and even then the level of support was only marginally better than the other models considered ([table 3](#page-11-0)). Almost no phylogenetic inertia or stochasticity was detected in the data. That is, evolutionary changes in the number of cuticular hydrocarbons appear to have occurred extremely rapidly and not stochastically. This implies that these signals could have been targets for selection. What selection pressure(s) this might have been is unknown.

Table 1. (Continued.)

Continued.)



<span id="page-10-0"></span>1820 T. J. Ord and J. Garcia-Porta Sociality and animal communication

<span id="page-11-0"></span>

Figure 1. Factors predicting variations in signal complexity in (a) frogs, (b) distantly related birds, (c) distantly related agamid lizards and  $(d)$  closely related Sceloporus lizards. The arrows in  $(a)$  highlight outliers not included in the computation of the trend line depicted in the plot.

Table 3. Factors influencing the evolution of complexity in chemical communication. CHCs, cuticular hydrocarbons.

			constrained tree				unconstrained tree			
case-study, signal variable	model, rank		$AIC_c$ $AIC_w$ r	$t_{1/2}$ (support) region)	$v_{\rm v}$ (support) region)		$AIC_c$ $AIC_w$ r		$t_{1/2}$ (support) region)	$v_{\rm v}$ (support) region)
ants, $n = 40$ species										
no. different <b>CHCs</b>	1. phylogeny: null	298.9 0.46		n.a. $0(0-10)$	$90(50 -$ 150)	298.9 0.46		n.a.	$0(0-10)$	$90(50 -$ 150)
	2. social: colony size	301.2 0.14				301.2 0.14				
	3. ecology: rainfall	301.3 0.14				301.3 0.14				
	4. social: mating system	301.4 0.13				301.4 0.13				
	5. ecology: temperature	301.4 0.13				301.4 0.13				

<span id="page-12-0"></span>



Figure 2. The phylogeny of signal complexity in (a) closely related birds, (b) distantly related birds and (c) ants. Strong phylogenetic inertia was estimated for the repertoires of both bird groups, while virtually no phylogenetic inertia was found for the pheromones of ants.

Variations in signal complexity across the ant phylogeny is depicted in figure 2c.

### 4. DISCUSSION

The goal of our study was twofold: (i) to evaluate the relative support of several hypotheses for the evolution of communicative complexity, and (ii) to illustrate how new phylogenetic approaches can be incorporated into the study of animal communication more generally. With this second goal in mind, we briefly discuss some practical points first to provide readers who are not familiar with the techniques used with a better perspective on how to interpret our findings, before elaborating on the broader implications of our study.

An important point to remember for any statistical analysis is that the validity of results is contingent on the extent to which the data accurately reflect the biological variables being investigated. For example, the particular index of signal complexity we used for a given taxonomic group may or may not be functionally relevant for the species in question. Communication systems are also often complex in ways that are not easily quantified by a single metric. For example, males might be the predominant signallers in one species, whereas both sexes might rely heavily on social communication in another species. In this instance, it is not immediately obvious whether repertoire size (for example) should be summed across the sexes to provide a common metric for both species, or whether each should be sex-evaluated separately. Similar difficulties can exist for metrics of sociality. As an example, the evolution of sexual size dimorphism can reflect other factors aside from sexual selection.

Table 4. A summary of the relative support for factors expected to influence the evolution of communicative complexity. Shown are median ranks of the highest ranked model for a given hypothesis for each case study based on the results from the constrained trees only or both the constrained and unconstrained trees. Calculations used the actual ranks of models when those models were ranked within two AIC<sub>c</sub> units of the best-supported model or an assigned rank of 100 if models fell outside this region. Subsequent median ranks of 100 were then classified as 'unranked'.



The sexes might appear monomorphic despite male size being the target of sexual selection because natural selection on females has lead to the evolution of large female body size for enhanced fecundity [\[103\]](#page-18-0). Alternatively, the presence of size dimorphism might reflect sex-specific divergence in the ecological resources exploited (e.g. differences in the size of prey eaten by the sexes [\[104\]](#page-18-0)). In comparative analyses, errors in the phylogeny can influence findings as well, as can the assumed mode by which evolution has proceeded. To circumvent these latter issues, we relied on alternative phylogenies and a comparative method that assessed the fit of a range of parameter values designed to assay varying levels of phylogenetic inertia and stochasticity in the evolution process.

The consequence of error in either the phenotypic data or the phylogeny is the generation of noise in analyses. Data noise makes it difficult to detect relationships of small effect that might otherwise exist in nature (i.e. enhanced type I statistical error). For this reason, it is hard to conclusively reject a hypothesis if it does not gain compelling support in a comparative analysis. This is exacerbated when data are complied from a range of different sources (noise in the data can increase because sources define variables differently or use different methods for measuring variables). By the same token, broad trends that are in fact revealed in comparative analyses will probably reflect major evolutionary phenomena because only factors leading to strong biological effects will tend to be detected. In this respect, phylogenetic comparative analyses can offer conservative support for a hypothesis. This support can then be used to justify a more refined comparative analysis in which direct measures or more accurate data are collected by the comparative biologists themselves (e.g. see exploratory literature-based analysis of Ord & Martins [\[11](#page-15-0)] and subsequent follow-up field study by Ord et al. [[105](#page-18-0)]) or focused experimental research that confirms causal links between a putative selective force and its adaptive outcome [[39,](#page-16-0)[106](#page-18-0)].

Our study is therefore an exploratory analysis that identifies potentially productive avenues for future research. Our findings are not meant to provide definitive conclusions on the specific evolutionary causes of signal complexity in the groups studied (although several strong candidates are highlighted (figure  $1a-d$ ). Rather the purpose of our study was to use these diverse groups as case studies to offer broader insight into the evolution of communicative complexity generally. On this front, we found that sociality – based on the metrics we were able to compile from the literature and electronic databases – was not as influential in the evolution of signal complexity as we had anticipated. Indeed, it appears to have only been an important factor in the evolution of signal complexity in lizards ([table 2](#page-10-0) and figure  $1c$ ; this is consistent with earlier comparative analyses [[24,25\]](#page-15-0)).

Table 4 provides an overview of the median model rank associated with each of the four hypotheses. It should be noted that the table weighs evidence from each of the case studies equally, and the communication systems and ecology of these groups do differ in a number of potentially important ways (e.g. dueting songbirds versus chorusing male frogs; colonially living ants versus territorial lizards). However, if a hypothesis is a general explanation for the evolution of communicative complexity, then it should be largely independent of the social or ecological peculiarities of a given species or taxonomic group. That is, support for a given hypothesis should be apparent across broad taxonomic groups rather than exclusive to select species. Table 4 shows that the phylogenetic null model, not social factors, was most often the best-supported model, followed closely by models reflecting ecology. In some instances, phylogenetic inertia and stochastic processes can explain variations in signal complexity among species. Signal complexity therefore has the potential to accumulate in lineages via neutral or non-adaptive processes (e.g. syllable duration in birds; [table 1](#page-8-0)). When evidence of adaptation was found, it was more often associated with variations in ecological factors and (to a lesser extent) allometry than it was with social pressures. For example, signal complexity increased in frogs as a possible function of the number of sympatric species encountered [\(table 1](#page-8-0)

case-study, signal variable	$N_{\rm species}$	phylogenetic inertia $(t_{1/2})$	stochasticity $(v_y)$
vocal signals			
frogs			
call amplitude modulation	32	low	high
call duration	32	high	low
birds (distantly related)			
syllable repertoire size	23	moderate	moderate
song repertoire size	23	high	high
birds (closely related)			
syllable repertoire	23	high	low
syllable duration	23	high	low
song duration	23	high	low
visual signals			
lizards (distantly related)			
number of ornaments	59	low	low-moderate
colour dichromatism, exposed	55	low-moderate	low-moderate
colour dichromatism, concealed	55	low	low
lizards (closely related)			
bob number	22	virtually zero	low
display duration	22	virtually zero	low
chemical signals			
ants			
no. different CHCs	40	virtually zero	low

Table 5. A summary of phylogenetic patterns associated with estimates of communicative complexity. CHCs, cuticular hydrocarbons.

and [figure 1](#page-11-0)a) or the height of call sites above the ground ([table 1](#page-8-0)). In lizards, the switch from a terrestrial lifestyle to being arboreal appears to have facilitated the evolution of more elaborate territorial displays ([table 2](#page-10-0) and [figure 1](#page-11-0)d; see also [[63\]](#page-17-0)). The difficulty in interpreting the role of ecology here is whether variables such as call or display site drive or constrain signal complexity. On the one hand, the range over which signals must remain effective increases with perch elevation, leading to potential directional selection on signals for longer duration or more components to facilitate detection by distant receivers. On the other hand, the environmental constraint leading to simple signals when communication is conducted close to the ground is no longer present or reduced for species signalling from elevated perches, opening the door for more complex signals to evolve via other factors. Semantically the distinction is subtle, but biologically it is important for inferring causality. But again, causality can only really be confirmed by means of empirical study and experimental manipulation [\[106\]](#page-18-0).

That said, empirical and experimental studies within species alone do not adequately identify the selection pressures that have directed signal evolution. Experimental studies can demonstrate current utility, but they cannot reveal the evolutionary history of communication, which in itself can have important consequences on how species adapt to contemporary selection pressures [\[107\]](#page-18-0). Consider the phylogeny of signal complexity in the case studies we examined. Table 5 illustrates remarkable consistency within signal classes in possible levels of phylogenetic inertia. If these estimates are accurate, they imply that the evolution of complexity in vocal signals exhibits far greater phylogenetic inertia, and subsequently lower rates of adaptation, than other signal modalities.

This could reflect major physiological or metabolic constraints on the production of auditory signals [\[49](#page-16-0)[,108,109](#page-18-0)]. We also found evidence for possible selection on the pheromone signals of ants, even though none of our selection models obtained any compelling support. The evolution of pheromone complexity appears to have been non-random and not the product of the gradual accumulation of cuticular hydrocarbons over long periods of evolutionary time (indicated by low values of stochasticity in the evolution process and low values of phylogenetic half-life, respectively). We reiterate that the interpretation of statistical parameters reflecting phylogenetic patterns need to be made with caution. With this in mind, our results are consistent with the notion that an unknown selection pressure has promoted rapid, predictable changes in pheromone complexity in ants. However, these results may also reflect that the hydrocarbons assayed have little functional relevance for communication or fitness generally.

Future research will be needed to clarify the significance of our findings in relation to the specific case studies examined. But the general outcome of our investigation is that sociality is not always required for the evolution of communicative complexity. Or at least, communicative complexity is the product of an intricate evolutionary process that cannot be distilled to a single factor. The complexity of form in the way animals communicate with one another fascinates biologists and amateur naturalists alike. On an informal level, communicative complexity implies richness in the social lives of animals. This sophistication in social behaviour probably enticed many of us into a career of studying animal communication in the first place. Indeed, in some regards, the study of communication in non-avian and non-primate species might be

<span id="page-15-0"></span>under-represented in the literature because of the perceived notion that such systems lack a degree of sociality and are therefore less attractive systems for the study of communication. Yet the generality of any hypothesis of why and how animal communication evolved is reliant on testing hypotheses on diverse taxa. We conducted such a study here and found compelling evidence—ironically, it seems—only for social factors in the evolution of visual signals in lizards. Whether sociality is a prerequisite for the evolution of communicative complexity in other systems awaits further investigation. Our results suggest that it may not be (see [\[56](#page-16-0)–[58](#page-16-0)] for positive tests in mammals).

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